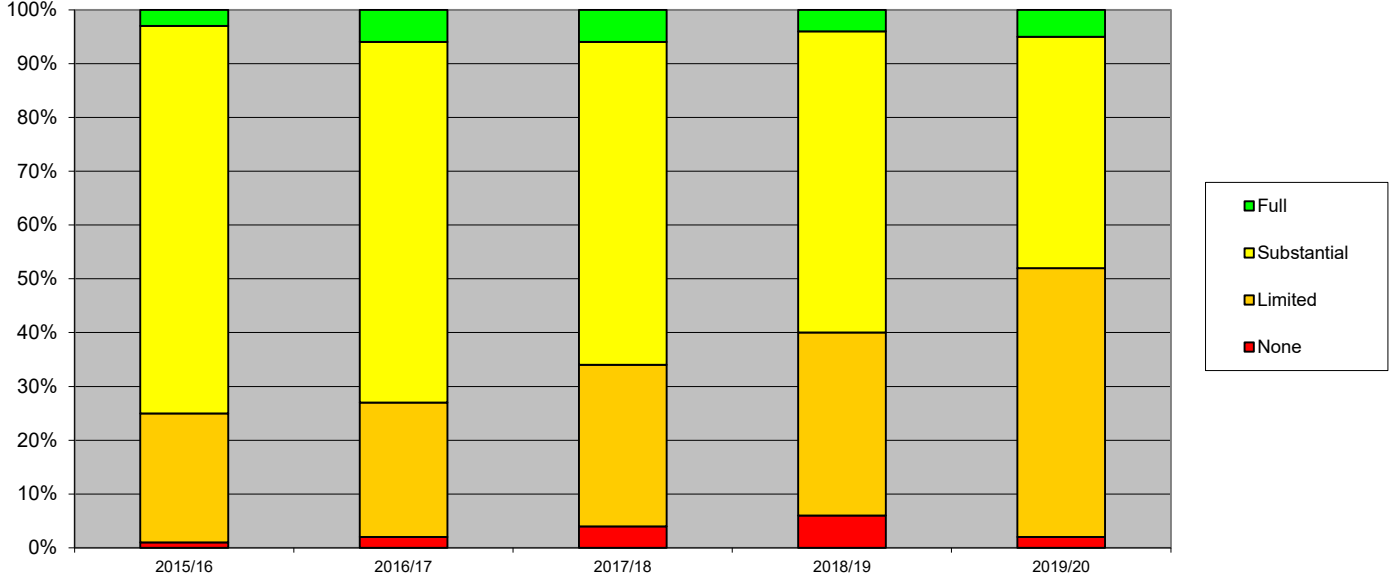


Internal Audit

Simon Maddocks
Head of Internal Audit

Levels of Assurance by Year



	2015/16	2016/17	2017/18	2018/19	2019/20
Full Assurance	3%	6%	6%	4%	5%
Substantial Assurance	72%	67%	60%	56%	43%
Limited Assurance	24%	25%	30%	34%	50%
No Assurance	1%	2%	4%	6%	2%

Breakdown of 2019/20 Audits

	Full	Substantial	Limited	No	Total
Key Financial Systems	25% (2)	62% (5)	13% (1)	0% (0)	8
ICT Systems	0% (0)	100% (2)	0% (0)	0% (0)	2
Operational and Departmental Systems	5% (1)	33% (7)	57% (12)	5% (1)	21
Schools	0% (0)	34% (4)	58% (7)	8% (1)	12
Total	7% (3)	41% (18)	47% (20)	5% (2)	43

Implementation of Agreed Actions

Performance Objective	Target	Performance 2015/16 (to date)	Performance 2016/17 (to date)	Performance 2017-18 (to date)	Performance 2018/19 (to date)	Performance 2019/20 (to date)
Percentage of priority one issues resolved	90%	100%	93%%	98%	77%	63%
Percentage of all issues resolved	80%	94%	91%	90%	82%	80%

Weaknesses identified and carried forward to the Annual Governance Statement:

- Contract letting, monitoring and management;
- Financial management within the adult and children's social care teams;
- Energy recharges to external organisations;
- Privacy notices relating to the collection of personal data;
- Schools in financial deficit and some weak financial controls;
- and
- Claiming, approving and recording of staff expenses.

Actions to put things right:

- All action plans to address individual audit findings are to be signed off by the relevant Executive Director who will be responsible for ensuring implementation.
- Internal audit will attend Departmental Leadership Team meetings to discuss issues being reported to members of GPAC.
- Internal audit will also attend Executive Leadership Team meetings to discuss GPAC audit update reports and the issues that they identify.
- Internal audit will report on progress to all future GPAC meetings.

Thank you

Simon Maddocks
Head of Internal Audit